

Maine Office of Securities
Manual Exemption Annual Certification Form

By my notarized signature, I certify on behalf of _____, the company that produces the securities manual or its electronic equivalent listed below, that:

1. I am an officer of the named company;
2. I have knowledge of the information contained in the following securities manual or its electronic equivalent produced by the named company:

_____ ; and

3. To the best of my knowledge and belief, the above-mentioned manual or its electronic equivalent contains for each listed issuer the information required by 32 M.R.S.A. § 16202(2)(D)(1) – (4).

Name: _____

Signature: _____

Date: _____

Title: _____

Company: _____

State of _____

County of _____

The foregoing instrument was acknowledged before me this _____ day of _____,

20____, by _____, _____ of

(name)

(title)

_____, a _____ corporation, on
(name of corporation acknowledging) (state/ place of incorporation)

behalf of the corporation.

Signature of Notary Public

Name of Notary Public (printed): _____

SEAL

Notary Public, State of _____

My commission expires: _____